

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANT: Wong et al. GROUP: 2874  
SERIAL NO: 10/620,072 EXAMINER: Unknown  
FILED: July 15, 2003  
FOR: DYNAMICALLY TUNABLE PHOTONIC BANDGAP  
MICROCAVITY WAVEGUIDES VIA MECHANICAL  
LATTICE CONTROL

Assistant Commissioner of Patents  
P.O. Box 1450, Alexandria, VA 22313-1450  
Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data which is:

incorrectly entered  
and/or

omitted

| Error in   | Correct data         |
|--|----------------------|
| 1. <input checked="" type="checkbox"/> Applicant's name    | 1. Sang-Gook Kim     |
| 2. <input checked="" type="checkbox"/> Applicant's address | 2. Wayland, MA 01778 |
| 3. <input type="checkbox"/> Title                          | 3.                   |
| 4. <input type="checkbox"/> Priority Information           | 4.                   |

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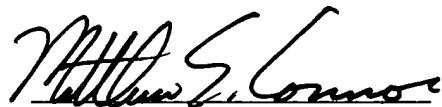
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Emily C. Rorell*  
Emily C. Rorell  
03/03/2004  
Date

Also enclosed, please find a copy of the Declaration and Power of Attorney submitted to the U.S. Patent Office on December 2, 2003 and a copy of the first issued Official Filing Receipt mailed on October 17, 2003, both of which exhibit Sang-Gook Kim as an inventor.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§1.16 and 1.17 that may be required by this paper or any paper filed in connection with this Patent Application, or refund any overpayment to our Deposit Order Account No. 19-0079.

Respectfully submitted,



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## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPL NO.   | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|------------------------|----------|---------------|----------------|----------|----------|----------|
| 10/620,072 | 07/15/2003             | 2874     | 458           | MIT.9923       | 5        | 22       | 2        |

Samuels, Gauthier & Stevens LLP  
 Suite 3300  
 225 Franklin Street  
 Boston, MA 02110

**RECEIVED**  
**DOCKETED JAN 15 2004**

CONFIRMATION NO. 7118  
**UPDATED FILING RECEIPT**  
  
 \*OC000000011675873\*

**GAUTHIER & CONNORS LLP**

Date Mailed: 01/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

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 George Barbastathis, Boston, MA;  
**SANG-GOOK KIM, WAYLAND, MA**

#### Domestic Priority data as claimed by applicant

This application is a CIP of 10/619,203 07/14/2003  
 and claims benefit of 60/395,926 07/15/2002  
 and claims benefit of 60/467,854 05/05/2003

#### Foreign Applications

If Required, Foreign Filing License Granted: 10/16/2003

Projected Publication Date: 04/22/2004

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Dynamically tunable photonic bandgap microcavity waveguides via mechanical lattice control

**Preliminary Class**

385

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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